



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s):** Satoshi Hosokawa

**Examiner:** Unassigned

**Serial No.:** 10/081,949

**Art Unit:** 2621

**Filed:** February 22, 2002

**Docket:** 15307

**For:** FINGERPRINT VERIFYING  
APPARATUS OF SMALL  
SIZE AND METHOD OF  
OPERATING THE SAME

**Dated:** February 27, 2004

**Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, Virginia 22313-1450**

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## **INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. U.S. Patent No. 4,995,086, dated February 19, 1991, issued to Lilley, et al;
2. Chinese Laid-Open Patent Publication No. 2401938Y, dated October 18, 2000; and

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box 1450, Alexandria, VA 22313-1450 on February 27, 2004.

**Dated:** February 27, 2004

**Paul J. Esatto, Jr.**

3. Chinese Laid-Open Patent Publication No. 2201059Y, dated June 21, 1995.

The references were cited in an Official Action dated January 2, 2004, received from the Chinese Patent Office. Applicant is submitting copies of the above-cited references, together with a translation of the Official Action. The relevance of the references is described in the Official Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of the official action in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the official action submitted herewith.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. §1.97(b), no statement or fee is required.

Respectfully submitted,


A handwritten signature in black ink, appearing to read 'PJM' or similar, written over the printed name.

Paul J. Esatto, Jr.  
Registration No. 30,749

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Garden City, New York 11530  
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PJE:yd

# 527  
03/05/04  
262/04

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 15307
In Re Application Of:    Satoshi Hosokawa			
Serial No. 10/081,949	Filing Date February 22, 2002	Examiner Unassigned	Group Art Unit 2621
<div style="display: flex; justify-content: space-between;"><div style="width: 60%;">Title: FINGERPRINT VERIFYING APPARATUS OF SMALL SIZE AND METHOD OF OPERATING THE SAME</div><div style="width: 35%; text-align: right;"><b>RECEIVED</b> MAR 04 2004 <b>TC 2600</b> <b>RECEIVED</b> MAR 04 2004 Technology Center 2600</div></div>			
<div style="display: flex; justify-content: space-between;"><div style="width: 30%;"></div><div style="width: 40%; text-align: center;">Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</div><div style="width: 30%;"></div></div> <p style="text-align: center;"><b>37 CFR 1.97(b)</b></p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p style="text-align: center;"><b>37 CFR 1.97(c)</b></p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p style="margin-left: 40px;"><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center; margin-left: 100px;"><b>OR</b></p> <p style="margin-left: 40px;"><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>			

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
15307

In Re Application: Satoshi Hosokawa

Serial No.  
10/081,949

Filing Date  
February 22, 2002

Examiner  
Unassigned

Group Art Unit  
2621

FINGERPRINT VERIFYING APPARATUS OF SMALL SIZE AND METHOD OF OPERATING  
THE SAME



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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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Signature

Paul J. Esatto, Jr.

Registration No. 30,749

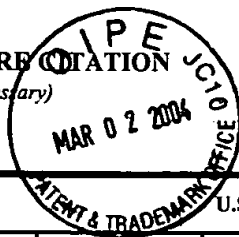
Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343/4366 Fax

Dated: February 27, 2004

CC:

# INFORMATION DISCLOSURE

(Use several sheets if necessary)



Docket Number (Optional)

15307

Application Number

10/081,949

Applicant(s)

Satoshi Hosokawa

Filing Date

February 22, 2002

Group Art Unit

2621

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		4,995,086	2/19/1991	Lilley, et al.			

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## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		2401938Y	10/18/2000	China				
		2201059Y	6/21/1995	China				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.